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# RFC 9337

## Generating Password-Based Keys Using the GOST Algorithms

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### Abstract

This document specifies how to use "PKCS #5: Password-Based Cryptography Specification Version 2.1" (RFC 8018) to generate a symmetric key from a password in conjunction with the Russian national standard GOST algorithms.

PKCS #5 applies a Pseudorandom Function (PRF) -- a cryptographic hash, cipher, or Hash-Based Message Authentication Code (HMAC) -- to the input password along with a salt value and repeats the process many times to produce a derived key.

This specification has been developed outside the IETF. The purpose of publication being to facilitate interoperable implementations that wish to support the GOST algorithms. This document does not imply IETF endorsement of the cryptographic algorithms used here.

### Status of This Memo

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## 1. Introduction

This document provides a specification of usage of GOST R 34.12-2015 encryption algorithms and the GOST R 34.11-2012 hashing functions with PKCS #5. The methods described in this document are designed to generate key information using the user's password and to protect information using the generated keys.

## 2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

## 3. Basic Terms and Definitions

Throughout this document, the following notation is used:

Notation	Definition
P	a password encoded as a Unicode UTF-8 string
S	a random initializing value
c	a number of iterations of algorithm, a positive integer
dkLen	a length in octets of derived key, a positive integer
DK	a derived key of length dkLen
$B_n$	a set of all octet strings of length n, $n \geq 0$ ; if $n = 0$ , then the set $B_n$ consists of an empty string of length 0
$A    C$	a concatenation of two octet strings A, C, i.e., a vector from $B_{ A + C }$ , where the left subvector from $B_{ A }$ is equal to the vector A and the right subvector from $B_{ C }$ is equal to the vector C: $A = (a_{n_1}, \dots, a_1)$ in $B_{n_1}$ and $C = (c_{n_2}, \dots, c_1)$ in $B_{n_2}$ , $res = (a_{n_1}, \dots, a_1, c_{n_2}, \dots, c_1)$ in $B_{n_1+n_2}$
$\backslash xor$	a bit-wise exclusive-or of two octet strings of the same length
$MSB_r^n : B_n \rightarrow B_r$	a truncating of an octet string to size r by removing the least significant n-r octets: $MSB_r^n(a_{n_1}, \dots, a_{n-r+1}, a_{n-r}, \dots, a_1) = (a_{n_1}, \dots, a_{n-r+1})$

Notation	Definition
$\text{LSB}_r^n : B_n - > B_r$	a truncating of an octet string to size $r$ by removing the most significant $n-r$ octets: $\text{LSB}_r^n(a_n, \dots, a_{n-r+1}, a_{n-r}, \dots, a_1) = (a_r, \dots, a_1)$
$\text{Int}(i)$	a four-octet encoding of the integer $i = < 2^{32}$ : $(i_1, i_2, i_3, i_4)$ in $B_4$ , $i = i_1 + 2^8 * i_2 + 2^{16} * i_3 + 2^{24} * i_4$
$b[i, j]$	a substring extraction operator, extracts octets $i$ through $j$ , $0 \leq i \leq j$
$\text{CEIL}(x)$	the smallest integer greater than or equal to $x$

Table 1: Terms and Definitions

This document uses the following abbreviations and symbols:

Abbreviations and Symbols	Definition
HMAC_GOSTR3411	Hashed-Based Message Authentication Code. A function for calculating a Message Authentication Code (MAC) based on the GOST R 34.11-2012 hash function (see <a href="#">RFC6986</a> ) with 512-bit output in accordance with <a href="#">RFC2104</a> .

Table 2: Abbreviations and Symbols

## 4. Algorithm for Generating a Key from a Password

The DK is calculated by means of a key derivation function PBKDF2 ( $P, S, c, \text{dkLen}$ ) (see [RFC8018](#), [Section 5.2](#)) using the HMAC\_GOSTR3411 function as the PRF:

$$\text{DK} = \text{PBKDF2}(P, S, c, \text{dkLen}).$$

The PBKDF2 function is defined as the following algorithm:

1. If  $\text{dkLen} > (2^{32} - 1) * 64$ , output "derived key too long" and stop.
2. Calculate  $n = \text{CEIL}(\text{dkLen} / 64)$ .
3. Calculate a set of values for each  $i$  from 1 to  $n$ :

$$U_1(i) = \text{HMAC\_GOSTR3411}(P, S \parallel \text{INT}(i)),$$

$$U_2(i) = \text{HMAC\_GOSTR3411}(P, U_1(i)),$$

...

$$U_c(i) = \text{HMAC\_GOSTR3411}(P, U_{c-1}(i)),$$

$$T(i) = U_1(i) \text{ xor } U_2(i) \text{ xor } \dots \text{ xor } U_c(i).$$

4. Concatenate the octet strings  $T(i)$  and extract the first  $dkLen$  octets to produce a derived key DK:

$$\circ DK = \text{MSB}^{n * 64}_{dkLen}(T(1) || T(2) || \dots || T(n))$$

## 5. Data Encryption

### 5.1. GOST R 34.12-2015 Data Encryption

Data encryption using the DK is carried out in accordance with the PBES2 scheme (see [RFC8018], Section 6.2) using GOST R 34.12-2015 in CTR\_ACPKM mode (see [RFC8645]).

#### 5.1.1. Encryption

The encryption process for PBES2 consists of the following steps:

1. Select the random value  $S$  of a length from 8 to 32 octets.
2. Select the iteration count  $c$  depending on the conditions of use (see [GostPkcs5]). The minimum allowable value for the parameter is 1000.
3. Set the value  $dkLen = 32$ .
4. Apply the key derivation function to the password  $P$ , the random value  $S$ , and the iteration count  $c$  to produce a derived key DK of length  $dkLen$  octets in accordance with the algorithm from Section 4. Generate the sequence  $T(1)$  and truncate it to 32 octets, i.e.,

$$DK = \text{PBKDF2}(P, S, c, 32) = \text{MSB}^{64}_{32}(T(1)).$$

5. Generate the random value  $ukm$  of size  $n$ , where  $n$  takes a value of 12 or 16 octets depending on the selected encryption algorithm:

- GOST R 34.12-2015 "Kuznyechik"  $n = 16$  (see [RFC7801])
- GOST R 34.12-2015 "Magma"  $n = 12$  (see [RFC8891])

6. Set the value  $S' = ukm[1..n-8]$ .
7. For the `id-gostr3412-2015-magma-ctracpkm` and `id-gostr3412-2015-kuznyechik-ctracpkm` algorithms (see Section 7.3), encrypt the message  $M$  with the GOST R 34.12-2015 algorithm with the derived key DK and the random value  $S'$  to produce a ciphertext  $C$ .
8. For the `id-gostr3412-2015-magma-ctracpkm-omac` and `id-gostr3412-2015-kuznyechik-ctracpkm-omac` algorithms (see Section 7.3), encrypt the message  $M$  with the GOST R 34.12-2015 algorithm with the derived key DK and the  $ukm$  in accordance with the following steps:

- Generate two keys from the derived key DK using the `KDF_TREE_GOSTR3411_2012_256` algorithm (see [RFC7836]):

encryption key  $K(1)$

MAC key K(2)

Input parameters for the KDF\_TREE\_GOSTR3411\_2012\_256 algorithm take the following values:

$K_{in} = DK$

label = "kdf tree" (8 octets)

seed = ukm[n-7..n]

R = 1

The input string label above is encoded using ASCII (see [RFC0020](#)).

- Compute the MAC for the message M using the K(2) key in accordance with the GOST R 34.12-2015 algorithm. Append the computed MAC value to the message M: M || MAC.
- Encrypt the resulting octet string with MAC with the GOST R 34.12-2015 algorithm with the derived key K(1) and the random value S' to produce a ciphertext C.

9. Serialize the parameters S, c, and ukm as algorithm parameters in accordance with [Section 7.2](#).

### 5.1.2. Decryption

The decryption process for PBES2 consists of the following steps:

1. Set the value dkLen = 32.
2. Apply the key derivation function PBKDF2 to the password P, the random value S, and the iteration count c to produce a derived key DK of length dkLen octets in accordance with the algorithm from [Section 4](#). Generate the sequence T(1) and truncate it to 32 octets, i.e.,  $DK = \text{PBKDF2}(P, S, c, 32) = \text{MSB}_{32}^{64}(T(1))$ .
3. Set the value S' = ukm[1..n-8], where n is the size of ukm in octets.
4. For the id-gostr3412-2015-magma-ctracpkm and id-gostr3412-2015-kuznyechik-ctracpkm algorithms (see [Section 7.3](#)), decrypt the ciphertext C with the GOST R 34.12-2015 algorithm with the derived key DK and the random value S' to produce the message M.
5. For id-gostr3412-2015-magma-ctracpkm-omac and id-gostr3412-2015-kuznyechik-ctracpkm-omac algorithms (see [Section 7.3](#)), decrypt the ciphertext C with the GOST R 34.12-2015 algorithm with the derived key DK and the ukm in accordance with the following steps:
  - Generate two keys from the derived key DK using the KDF\_TREE\_GOSTR3411\_2012\_256 algorithm:

encryption key K(1)

MAC key K(2)

Input parameters for the KDF\_TREE\_GOSTR3411\_2012\_256 algorithm take the following values:

$K_{in} = DK$

label = "kdf tree" (8 octets)

```
seed = ukm[n-7..n]
```

```
R = 1
```

The input string label above is encoded using ASCII (see [\[RFC0020\]](#)).

- Decrypt the ciphertext C with the GOST R 34.12-2015 algorithm with the derived key K(1) and the random value S' to produce the plaintext. The last k octets of the text are the MAC, where k depends on the selected encryption algorithm.
- Compute the MAC for the text[1..m - k] using the K(2) key in accordance with GOST R 34.12-2015 algorithm, where m is the size of text.
- Compare the computing MAC and the receiving MAC. If the sizes or values do not match, the message is distorted.

## 6. Message Authentication

The PBMAC1 scheme is used for message authentication (see [\[RFC8018\]](#), [Section 7.1](#)). This scheme is based on the HMAC\_GOSTR3411 function.

### 6.1. MAC Generation

The MAC generation operation for PBMAC1 consists of the following steps:

1. Select the random value S of a length from 8 to 32 octets.
2. Select the iteration count c depending on the conditions of use (see [\[GostPkcs5\]](#)). The minimum allowable value for the parameter is 1000.
3. Set the dkLen to at least 32 octets. The number of octets depends on previous parameter values.
4. Apply the key derivation function to the password P, the random value S, and the iteration count c to generate a sequence K of length dkLen octets in accordance with the algorithm from [Section 4](#).
5. Truncate the sequence K to 32 octets to get the derived key DK, i.e.,  $DK = \text{LSB}_{32}^{\text{dkLen}}(K)$ .
6. Process the message M with the underlying message authentication scheme with the derived key DK to generate a message authentication code T.
7. Save the parameters S and c as algorithm parameters in accordance with [Section 7.4](#).

### 6.2. MAC Verification

The MAC verification operation for PBMAC1 consists of the following steps:

1. Set the dkLen to at least 32 octets. The number of octets depends on previous parameter values.
2. Apply the key derivation function to the password P, the random value S, and the iteration count c to generate a sequence K of length dkLen octets in accordance with the algorithm from [Section 4](#).

3. Truncate the sequence  $K$  to 32 octets to get the derived key  $DK$ , i.e.,  $DK = \text{LSB}_{32}^{\text{dkLen}}(K)$ .
4. Process the message  $M$  with the underlying message authentication scheme with the derived key  $DK$  to generate a MAC.
5. Compare the computing MAC and the receiving MAC. If the sizes or values do not match, the message is distorted.

## 7. Identifiers and Parameters

This section defines the ASN.1 syntax for the key derivation functions, the encryption schemes, the message authentication scheme, and supporting techniques (see [RFC8018]).

```
rsadsi OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) 113549 }
pkcs OBJECT IDENTIFIER ::= { rsadsi 1 }
pkcs-5 OBJECT IDENTIFIER ::= { pkcs 5 }
```

### 7.1. PBKDF2

The Object Identifier (OID) id-PBKDF2 identifies the PBKDF2 key derivation function:

```
id-PBKDF2 OBJECT IDENTIFIER ::= { pkcs-5 12 }
```

The parameters field associated with this OID in an AlgorithmIdentifier **SHALL** have type PBKDF2-params:

```
PBKDF2-params ::= SEQUENCE
{
  salt CHOICE
  {
    specified OCTET STRING,
    otherSource AlgorithmIdentifier {{PBKDF2-SaltSources}}
  },
  iterationCount INTEGER (1000..MAX),
  keyLength INTEGER (32..MAX) OPTIONAL,
  prf AlgorithmIdentifier {{PBKDF2-PRFs}}
}
```

The fields of type PBKDF2-params have the following meanings:

- salt contains the random value  $S$  in OCTET STRING.
- iterationCount specifies the iteration count  $c$ .
- keyLength is the length of the derived key in octets. It is an optional field for the PBES2 scheme since it is always 32 octets. It **MUST** be present for the PBMAC1 scheme and **MUST** be at least 32 octets since the HMAC\_GOSTR3411 function has a variable key size.



- `prf` identifies the pseudorandom function. The identifier value **MUST** be `id-tc26-hmac-gost-3411-12-512` and the parameters value must be `NULL`:

```
id-tc26-hmac-gost-3411-12-512 OBJECT IDENTIFIER ::=
{
  iso(1) member-body(2) ru(643) reg7(7)
  tk26(1) algorithms(1) hmac(4) 512(2)
}
```

## 7.2. PBES2

The OID `id-PBES2` identifies the PBES2 encryption scheme:

```
id-PBES2 OBJECT IDENTIFIER ::= { pkcs-5 13 }
```

The parameters field associated with this OID in an `AlgorithmIdentifier` **SHALL** have type `PBES2-params`:

```
PBES2-params ::= SEQUENCE
{
  keyDerivationFunc   AlgorithmIdentifier { { PBES2-KDFs } },
  encryptionScheme    AlgorithmIdentifier { { PBES2-Encs } }
}
```

The fields of type `PBES2-params` have the following meanings:

- `keyDerivationFunc` identifies the key derivation function in accordance with [Section 7.1](#).
- `encryptionScheme` identifies the encryption scheme in accordance with [Section 7.3](#).

## 7.3. Identifier and Parameters of Gost34.12-2015 Encryption Scheme

The `Gost34.12-2015` encryption algorithm identifier **SHALL** take one of the following values:

```
id-gostr3412-2015-magma-ctracpkm OBJECT IDENTIFIER ::=
{
  iso(1) member-body(2) ru(643) rosstandart(7)
  tc26(1) algorithms(1) cipher(5)
  gostr3412-2015-magma(1) mode-ctracpkm(1)
}
```

When the `id-gostr3412-2015-magma-ctracpkm` identifier is used, the data is encrypted by the GOST R 34.12-2015 Magma cipher in `CTR_ACPKM` mode in accordance with [\[RFC8645\]](#). The block size is 64 bits and the section size is fixed within a specific protocol based on the requirements of the system capacity and the key lifetime.

```
id-gostr3412-2015-magma-ctracpkm-omac OBJECT IDENTIFIER ::=
{
  iso(1) member-body(2) ru(643) rosstandart(7)
  tc26(1) algorithms(1) cipher(5)
  gostr3412-2015-magma(1) mode-ctracpkm-omac(2)
}
```

When the id-gostr3412-2015-magma-ctracpkm-omac identifier is used, the data is encrypted by the GOST R 34.12-2015 Magma cipher in CTR\_ACPKM mode in accordance with [RFC8645] and the MAC is computed by the GOST R 34.12-2015 Magma cipher in MAC mode (MAC size is 64 bits). The block size is 64 bits and the section size is fixed within a specific protocol based on the requirements of the system capacity and the key lifetime.

```
id-gostr3412-2015-kuznyechik-ctracpkm OBJECT IDENTIFIER ::=
{
  iso(1) member-body(2) ru(643) rosstandart(7)
  tc26(1) algorithms(1) cipher(5)
  gostr3412-2015-kuznyechik(2) mode-ctracpkm(1)
}
```

When the id-gostr3412-2015-kuznyechik-ctracpkm identifier is used, the data is encrypted by the GOST R 34.12-2015 Kuznyechik cipher in CTR\_ACPKM mode in accordance with [RFC8645]. The block size is 128 bits and the section size is fixed within a specific protocol based on the requirements of the system capacity and the key lifetime.

```
id-gostr3412-2015-kuznyechik-ctracpkm-omac OBJECT IDENTIFIER ::=
{
  iso(1) member-body(2) ru(643) rosstandart(7)
  tc26(1) algorithms(1) cipher(5)
  gostr3412-2015-kuznyechik(2) mode-ctracpkm-omac(2)
}
```

When the id-gostr3412-2015-kuznyechik-ctracpkm-omac identifier is used, the data is encrypted by the GOST R 34.12-2015 Kuznyechik cipher in CTR\_ACPKM mode in accordance with [RFC8645] and MAC is computed by the GOST R 34.12-2015 Kuznyechik cipher in MAC mode (MAC size is 128 bits). The block size is 128 bits and the section size is fixed within a specific protocol based on the requirements of the system capacity and the key lifetime.

The parameters field in an AlgorithmIdentifier **SHALL** have type Gost3412-15-Encryption-Parameters:

```
Gost3412-15-Encryption-Parameters ::= SEQUENCE
{
  ukm OCTET STRING
}
```

The field of type Gost3412-15-Encryption-Parameters have the following meanings:

- ukm **MUST** be present and **MUST** contain n octets. Its value depends on the selected encryption algorithm:
  - GOST R 34.12-2015 "Kuznyechik" n = 16 (see [RFC7801])
  - GOST R 34.12-2015 "Magma" n = 12 (see [RFC8891])

## 7.4. PBMAC1

The OID id-PBMAC1 identifies the PBMAC1 message authentication scheme:

```
id-PBMAC1 OBJECT IDENTIFIER ::= { pkcs-5 14 }
```

The parameters field associated with this OID in an AlgorithmIdentifier **SHALL** have type PBMAC1-params:

```
PBMAC1-params ::= SEQUENCE
{
    keyDerivationFunc AlgorithmIdentifier { { PBMAC1-KDFs } },
    messageAuthScheme AlgorithmIdentifier { { PBMAC1-MACs } }
}
```

The fields of type PBMAC1-params have the following meanings:

- keyDerivationFunc is the identifier and parameters of key derivation function in accordance with [Section 7.1](#).
- messageAuthScheme is the identifier and parameters of the HMAC\_GOSTR3411 algorithm.

## 8. Security Considerations

For information on security considerations for password-based cryptography, see [RFC8018].

Conforming applications **MUST** use unique values for ukm and S in order to avoid the encryption of different data on the same keys with the same initialization vector.

It is **RECOMMENDED** that parameter S consist of at least 32 octets of pseudorandom data in order to reduce the probability of collisions of keys generated from the same password.

## 9. IANA Considerations

This document has no IANA actions.

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[RFC6070] Josefsson, S., "PKCS #5: Password-Based Key Derivation Function 2 (PBKDF2) Test Vectors", RFC 6070, DOI 10.17487/RFC6070, January 2011, <<https://www.rfc-editor.org/info/rfc6070>>.

## Appendix A. PBKDF2 HMAC\_GOSTR3411 Test Vectors

These test vectors are formed by analogy with test vectors from [RFC6070]. The input strings below are encoded using ASCII (see [RFC0020]). The sequence "\0" (without quotation marks) means a literal ASCII NULL value (1 octet). "DK" refers to the derived key.

Input:

```
P = "password" (8 octets)
S = "salt" (4 octets)
c = 1
dkLen = 64
```

Output:

```
DK = 64 77 0a f7 f7 48 c3 b1 c9 ac 83 1d bc fd 85 c2
    61 11 b3 0a 8a 65 7d dc 30 56 b8 0c a7 3e 04 0d
    28 54 fd 36 81 1f 6d 82 5c c4 ab 66 ec 0a 68 a4
    90 a9 e5 cf 51 56 b3 a2 b7 ee cd db f9 a1 6b 47
```

Input:

```
P = "password" (8 octets)
S = "salt" (4 octets)
c = 2
dkLen = 64
```

Output:

```
DK = 5a 58 5b af df bb 6e 88 30 d6 d6 8a a3 b4 3a c0
    0d 2e 4a eb ce 01 c9 b3 1c 2c ae d5 6f 02 36 d4
    d3 4b 2b 8f bd 2c 4e 89 d5 4d 46 f5 0e 47 d4 5b
    ba c3 01 57 17 43 11 9e 8d 3c 42 ba 66 d3 48 de
```

Input:

```
P = "password" (8 octets)
S = "salt" (4 octets)
c = 4096
dkLen = 64
```

Output:

```
DK = e5 2d eb 9a 2d 2a af f4 e2 ac 9d 47 a4 1f 34 c2
    03 76 59 1c 67 80 7f 04 77 e3 25 49 dc 34 1b c7
    86 7c 09 84 1b 6d 58 e2 9d 03 47 c9 96 30 1d 55
    df 0d 34 e4 7c f6 8f 4e 3c 2c da f1 d9 ab 86 c3
```

Input:

```
P = "password" (8 octets)
S = "salt" (4 octets)
c = 16777216
dkLen = 64
```

Output:

```
DK = 49 e4 84 3b ba 76 e3 00 af e2 4c 4d 23 dc 73 92
    de f1 2f 2c 0e 24 41 72 36 7c d7 0a 89 82 ac 36
```

```
1a db 60 1c 7e 2a 31 4e 8c b7 b1 e9 df 84 0e 36
ab 56 15 be 5d 74 2b 6c f2 03 fb 55 fd c4 80 71
```

**Input:**

```
P = "passwordPASSWORDpassword" (24 octets)
S = "saltSALTsaltSALTsaltSALTsaltSALTsalt" (36 octets)
c = 4096
dkLen = 100
```

**Output:**

```
DK = b2 d8 f1 24 5f c4 d2 92 74 80 20 57 e4 b5 4e 0a
    07 53 aa 22 fc 53 76 0b 30 1c f0 08 67 9e 58 fe
    4b ee 9a dd ca e9 9b a2 b0 b2 0f 43 1a 9c 5e 50
    f3 95 c8 93 87 d0 94 5a ed ec a6 eb 40 15 df c2
    bd 24 21 ee 9b b7 11 83 ba 88 2c ee bf ef 25 9f
    33 f9 e2 7d c6 17 8c b8 9d c3 74 28 cf 9c c5 2a
    2b aa 2d 3a
```

**Input:**

```
P = "pass\0word" (9 octets)
S = "sa\0lt" (5 octets)
c = 4096
dkLen = 64
```

**Output:**

```
DK = 50 df 06 28 85 b6 98 01 a3 c1 02 48 eb 0a 27 ab
    6e 52 2f fe b2 0c 99 1c 66 0f 00 14 75 d7 3a 4e
    16 7f 78 2c 18 e9 7e 92 97 6d 9c 1d 97 08 31 ea
    78 cc b8 79 f6 70 68 cd ac 19 10 74 08 44 e8 30
```

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